APR 0 5 2004 BOCKET NO.: WYNC-0779/AM100274DIV

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Chan et al.

Confirmation No.: Not yet known

**Application No.: 10/734,867** 

Group Art Unit: Not yet known

Filing Date: December 12, 2003

Examiner: Not yet assigned

For: PROCESS FOR THE SYNTHESIS OF DERIVATIVES OF 2,3-DIHYDRO-1,4-DIOXINO-[2,3-F] QUINOLINE

DATE OF DEPOSIT: April 2, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 23213 1460.

TYPED NAME: Wendy A. Choi REGISTRATION NO.: 36,697

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

	the above identified	application as set forth in § 1.491, before the mailing date
	of a first Office Act	ion on the merits of the above-identified application, or
	before the mailing d	ate of a first Office Action after the filing of request for
	continued examination	on under § 1.114, no additional fee is required.
	In accordance with	§ 1.97(c), this Information Disclosure Statement is being
	filed after the period	set forth in § 1.97(b) above but before the mailing date of
	either a Final Action	under § 1.113 or a Notice of Allowance under § 1.311, or
	before an action that	otherwise closes prosecution in the application, therefore:
		Certification in Accordance with § 1.97(e) is attached;
		or
		The fee of $$180.00$ as set forth in $$1.17(p)$ is attached.
	In accordance with	§ 1.97(d), this Information Disclosure Statement is being
	filed after the mailin	g date of either a Final Action under § 1.113 or a Notice
	of Allowance under	§ 1.311 but before, or simultaneously with, the payment
	of the Issue Fee, the	erefore included are: Certification in Accordance with §
·	1.97(e); and the subm	nission fee of \$180.00 as set forth in § 1.17(p).
	Copies of each of the	ne references listed on the attached Form PTO-1449 are
	enclosed herewith.	
$\boxtimes$	Copies of reference	s listed on the attached Form PTO-1449 are enclosed
	herewith EXCEPT T	HAT:
	Copies of references	listed on the attached Form PTO 1449 are not required to
	be submitted pursua	ant to the June 30, 2003 recent revisions to 37 CFR §
	1 98(a)(2)(i)	

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**PATENT** 

In view of the voluminous nature of references [list as appropriate],

and the likelihood that these references are available to the Examiner,

copies are not enclosed herewith.

In accordance with § 1.98(d), copies of the following references listed

on the attached Form PTO-1449 are not enclosed herewith because

they were previously cited by or submitted to the U.S. Patent and

Trademark Office in patent application(s) for which a claim for priority

under 35 U.S.C.§ 120 have been made in the instant application:

Copies of references 1-17 listed on the attached Form PTO-

1449 were previously cited by or submitted to the Patent and

Trademark Office in prior Application No. 10/145,369, filed

May 14, 2002.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-

3050. This form is submitted in duplicate.

Date: April 2, 2004

Registration No. 36,697

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U.S. Department of Commerce Patent and Trademark Office

Docket No.
WYNC-0779/
AM100274DIV

Application No. 10/734,867

Applicant Chan et al.

Filing Date December 12, 2003

Group

Not yet known

Confirmation No. Not yet known

## **U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
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	2	5,371,094	12/06/94	Heine	514	323
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## FOREIGN PATENT DOCUMENTS

Examiner					Trans	Translation	
Initial		Document No.	Date	Country	YES	NO	
*	8	02/072587	9/19/02	WO			
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EXAMINER			DATE CONSIDERED				



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U.S. Department of Commerce Patent and Trademark Office

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Filing Date December 12, 2003	Group Not yet known	
Confirmation No. Not yet known		

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\* Did not receive